

# Spectroscopy of plasmas

## Assembling atomic data for diagnosing and modelling fusion plasmas

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If we wish to interpret the emission from plasmas:

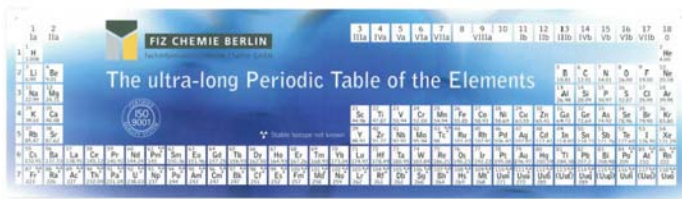
- Require atomic and molecular data
- Not necessarily of highest quality – completeness is as important
- What do we mean by atomic data?
- Fundamental data mediated via models to be useful for modelling and diagnostic use.
- Like a parameterization of atomic features with macroscopic plasma quantities (Te, Ti, Ne, B, I etc.).
- Large amounts of data involved.

Necessary tasks:

- Gather/calculate fundamental data.
- Develop appropriate (collisional-radiative) models.
- Store data in a well defined way.
- Assess the quality of the data.

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## Scope of the challenge



Set some limits:

- Consider elements and models appropriate for magnetic confined fusion – and related plasma such as solar.
- Model collisional, non-LTE, (mostly) optically thin plasmas.
- Limit number of elements.

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## ADAS is one approach – what is it?

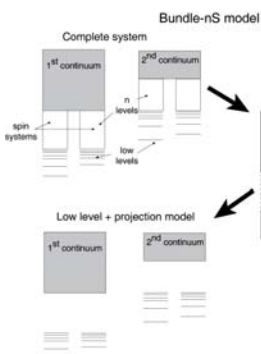
- ADAS, as a database delivers:
  - extensive set of fundamental and derived data tuned for plasma modelling and spectroscopic analysis,
  - provides 'baseline' level data for any element and ion stage.
  - atomic data source for many modelling codes and systems,
  - makes a significant quantity of data publicly available via OPEN-ADAS <http://open.adas.ac.uk> (with IAEA).
- ADAS, as a computer system, is designed to:
  - provide a set of interactive codes which are easy to use,
  - provide subroutine libraries for inclusion in other codes,
  - allow direct access to diagnostically relevant data.
- ADAS, as a collaborative organisation:
  - provides guidance (training courses, visits etc.) on running codes,
  - gives recommendation on the best data to use,
  - assists in analysis and development of analysis tools and models.

It is structured as a self-funded consortium between most major fusion laboratories and universities. Its historical roots are in JET and is now managed by the University of Strathclyde and is governed by a steering committee of the participating members.

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## Finite density environment

generalized collisional-radiative approach – projection of high-n levels

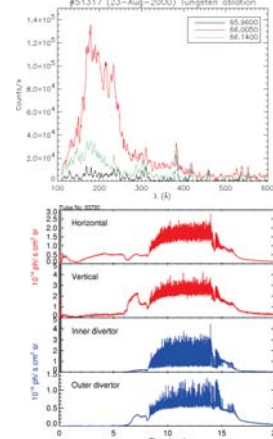


- For light/medium weight elements there is a **truncation problem** since the true atom with its infinite number of **Rydberg states**.
- Dielectronic recombination populates high lying states.
- Setup a bundle-n collisional-radiative matrix for the whole system. Use the inverse sub-matrix propagator for the ry n-shells to project onto the ry<sub>n</sub> n-shells.
- Eliminate the direct couplings and expand statistically over the ry<sub>n</sub> n-shell substructure and add to the more exact collisional-radiative matrix for ry.

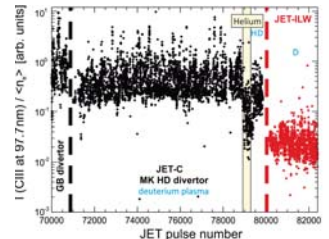
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## Principal uses of spectroscopic measurements



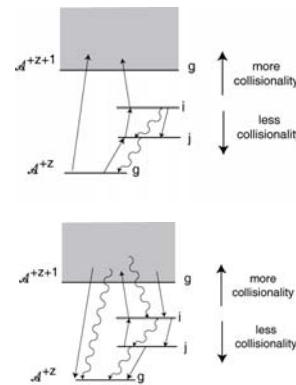
- Information on state of plasma.
- Control of plasma performance.
- Assessment of machine and scenarios state.
- Not a light source.



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## Finite density environment

collisional-radiative picture for ionisation and recombination



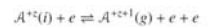
Reactions:

At higher densities, collisional excitation and de-excitation between excited levels compete with spontaneous emission.



Indirect pathways lead to line emission and ionisation may occur in a stepwise manner.

Three-body recombination must be added to the reactions which pairs with collisional ionisation from excited states

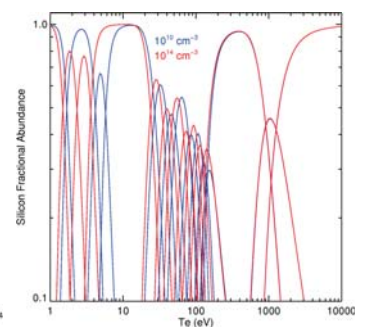
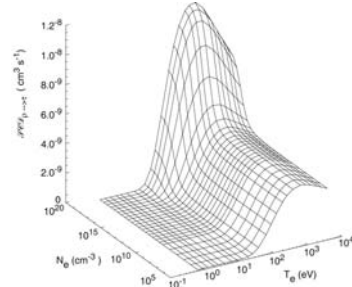
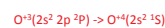


Not all recombinations lead to growth of the ground population of the recombined ion.

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## Coefficients are functions of $T_e$ and $N_e$

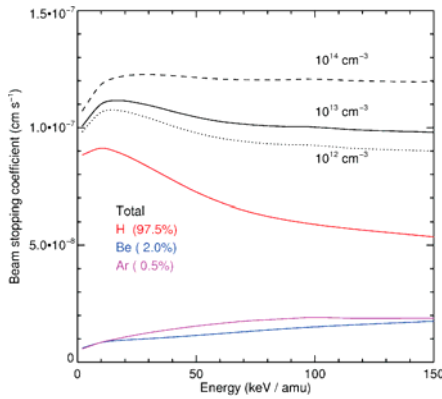
The SCD coefficient increase to a new limit at high density as excitations lead to ionisation



With Silicon the effect is greater (effect at edge than in core)

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## Beam stopping coefficients are also dependent on the plasma environment



Variation of stopping due to density effects is similar to the influence of impurities as stopping species.

## The 3 types of data files in ADAS

- Derived data** are data tailored for modelling: electron temperature and density dependent effective emission coefficients, effective ionisation/recombination rates, radiated power, spectral emissivities, beam stopping, spectral features etc.,
  - Result of fundamental data processed via population models.
  - Much of this data are **not** catalogued in traditional data centres.
- Fundamental data** are core atomic data necessary for modelling: A-values, energy levels, cross sections, collision strengths, zero density ionisation rates etc.,
  - Many sources: collaborators, literature, self-generated, data centres etc.
  - Many resolutions: from simplistic to the forefront of computational physics.
- Driver data** allow complete regeneration of all ADAS derived data (and some fundamental data) in conjunction with the various ADAS codes,
  - Unique to ADAS system.

## ADAS data formats (*adf*)

All ADAS data is stored in a well defined, tightly specified format – eg. adf 04 file.

element	ion charge	nuclear charge	description	field
C	+1	6	396664.7(15) 249084.0(13)	(25+1)(l(listat.wt.-1/2)
1	281 291		(211) 2.3	0.0 (111.000 (211.500
2	281 292		(411) 5.5	42993.5 (212.000
3	281 292		(212) 4.5	74888.8 (110.500 (211.500
65	292 381		(210) 0.5	306228.0 (X)
66	292 391		(211) 2.5	317787.7 (X)
67	292 301		(212) 4.5	329762.3 (X)
electron impact transition list				
1			24.94	3.14 2.13 0.74 0.59 0.48 0.36 0.30 0.26
2			1.00+00	1.00+00 1.00+00 1.20+00 1.40+00 1.49+00 1.47+00 1.36+00 1.29+00
3			1.00+00	1.90+02 2.40+02 2.64+02 3.06+02 3.50+02 3.49+02 2.47+02 1.66+02
4			7 4.95+07	1.13+02 1.16+02 1.25+02 1.50+02 1.85+02 2.29+02 3.12+02 3.72+02
5			7 1.99+08	7.54+00 7.62+00 7.82+00 8.53+00 9.83+00 1.23+01 1.79+01 2.39+01
6			59 6.24+06	1.20+01 1.20+01 1.23+01 1.50+01 1.95+01 2.95+01 5.33+01 8.12+01
7			66 3.21+07	1.30+01 1.42+01 1.73+01 2.77+01 4.51+01 7.38+01 1.34+02 1.95+02
8			1 1+2	1.53+13 1.10+13 8.46+14 6.38+14 5.08+14 3.71+14 2.48+14 1.89+14
9			7 4.95+07	1.00+00 1.00+00 1.00+00 1.00+00 1.00+00 1.00+00 1.00+00 1.00+00
10			6 3.14+13	8.04+13 9.10+13 9.87+13 1.03+12 8.27+13 3.83+13 1.75+13
11			2.35+18	3.05+18 1.60+17 3.31+14 1.25+12 1.65+11 1.86+10 6.49+10
12			3.23+09	9.07+09 8.96+09 1.11+08 1.50+08 1.88+08 2.30+08 2.55+08
13			-1	-1
14			-1	-1

A free-format comment section at the end detailing source and responsible person.

## ADAS data and computational overview

There are 55 different ADAS data formats

Some key ADFs and MDFs for general application

- ADF01**: charge exchange cross sections
- ADF04**: specific ion data
- ADF11**: coll.-rad. ionis., recom. and related coefficients.
- ADF13**: ionisation per photon ratios
- ADF15**: emissivity coefficients
- ADF40**: envelope feature photon emis. coefficients
- ADF21**: beam stopping coefficients
- ADF39**: photoionization cross sections

- MDF00**: fundamental diatomic molecular constants
- MDF01**: rovibronic models
- MDF02**: fundamental cross-section data
- MDF04**: specific molecule data

Interactive user interface

ADAS series (9 series with 85 programs)

The application interface

ADAS Fortran subroutine (~1900), IDL procedure (~1700) and python (~20) routine libraries  
Data extraction procedures and subroutines by format: `xxdatm <nn>`, `read_adf<nn>`, `xxdatm <nn>`, `read_mdf<nn>`.

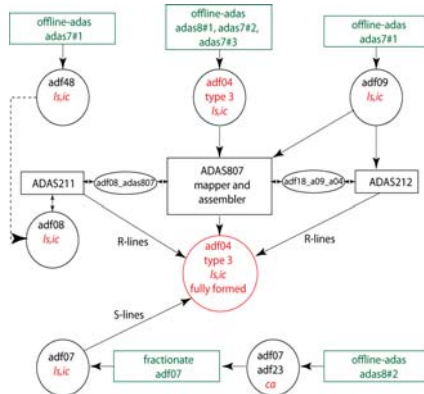
Offline-ADAS for large scale production  
6 large scale production packages: `adas7#1`, `adas7#3`, `adas8#1`, `adas8#2`, `adas8#3`, `adas8#4`.

Documentation – manual and course material.

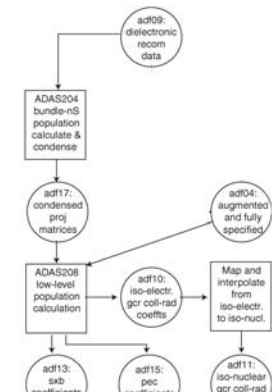
## Assembling a high precision adf04 dataset

The schematic shows the inter-connected production codes and pathways which are used to form *adf04* datasets for light and medium weight ions of elements at the highest precision.

- Codes in black letter capitals are part of interactive ADAS.
- The ADAS codes containing '#' are non-interactive offline-adas codes executed in distributed processing by scripts.



## Workflow to generate derived data from fundamental collection



- Data files (*adf*) – fundamental, derived and driver – are tightly defined and have ADAS supplied reading routines.
- ADAS codes operate on *adf* datasets and output to other *adf* datasets
- Plasma and model options via script or input screen stored in output *adf*.

- Data assembly and processing is too manual a process.
- Origin of the data is held in free-form in the comments section of each file.

## Lithium – only 3 electrons



Available online at [www.sciencedirect.com](http://www.sciencedirect.com)  
ScienceDirect  
Atomic Data and Nuclear Data Tables 92 (2000) 813–851

Atomic Data and Nuclear Data Tables  
[www.elsevier.com/locate/adt](http://www.elsevier.com/locate/adt)

### Generalised collisional-radiative model for light elements. A: Data for the Li isonuclear sequence

S.D. Loch <sup>a,\*</sup>, J. Colgan <sup>a</sup>, M.C. Witthoef <sup>a</sup>, M.S. Pindzola <sup>a</sup>, C.P. Ballance <sup>b</sup>, D.M. Mitnik <sup>b</sup>, D.C. Griffin <sup>b</sup>, M.G. O'Mullane <sup>c</sup>, N.R. Badnell <sup>c</sup>, H.P. Summers <sup>c</sup>

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<sup>b</sup> Department of Physics, Radford College, Winter Park, FL 32789, USA  
<sup>c</sup> Department of Physics, University of Strathclyde, Glasgow G4 0NG, UK

- 64 *adf* datasets
- 43 in OPEN-ADAS: fundamental data (excitation, DR, RR and ionisation) derived data (source, power, S/XB and PEC coefficients)

## Lithium – the 64 datasets

Driver for adas8#1 adf04  
`adf34/lithium/i0.dat`  
`adf34/lithium/i1.dat`  
`adf34/lithium/i2.dat`

Baseline adf04 to give baseline fill-in and A-values  
`adf04/copmm#3/ls#i0.dat`  
`adf04/copmm#3/ls#i1.dat`  
`adf04/copmm#3/ls#i2.dat`

R-matrix data from Connor Ballance and Don Griffin  
`adf04/hlike/hlike_cpb02#i0.dat`  
`adf04/hlike/hlike_cpb02#i1.dat`  
`adf04/hlike/hlike_cpb02#i2.dat`

Metastable and excited state resolved ionisation data from S Loch  
`adf07/szd02#i/szd02#i_i0.dat`  
`adf07/szd02#i/szd02#i_i1.dat`  
`adf07/szd02#i/szd02#i_i2.dat`

State resolved radiative recombination from Nigel Badnell  
`adf48/nrb05#h/nrb05#h_i1s.dat`  
`adf48/nrb05#h/nrb05#h_i2s.dat`  
`adf48/nrb05#h/nrb05#h_i3s.dat`

State resolved dielectronic recombination from N Badnell and M Bautista  
`adf09/nrb00#h/nrb00#h_i2s12.dat`  
`adf09/nrb00#h/nrb00#h_i1s12.dat`  
`adf09/nrb00#h/nrb00#h_i1s23.dat`

Fully specified adf04 file for processing  
`adf04/adas3/cpb02_ls#i0.dat`  
`adf04/adas3/cpb02_ls#i1.dat`  
`adf04/adas3/cpb02_nli2.dat`

Mapping high-n to low levels  
`adf18/a17_p208/exp96#h/exp96#h_i0s.dat`  
`adf18/a17_p208/exp96#h/exp96#h_i1s1s.dat`  
`adf18/a17_p208/exp96#h/exp96#h_i2s.dat`

Projection matrices  
`adf17/cbnm96#h/cbnm96#h_i0s.dat`  
`adf17/cbnm96#h/cbnm96#h_i1s1s.dat`  
`adf17/cbnm96#h/cbnm96#h_i2s1s.dat`

iso-electronic GCR data  
`adf10/acd96/pj#acd96_i111.dat`  
`adf10/acd96/pj#acd96_i121.dat`  
`adf10/acd96/pj#acd96_i112.dat`  
`adf10/acd96/pj#acd96_i122.dat`  
`adf10/pt96/pj#pt96_i1#r.dat`  
`adf10/pt96/pj#pt96_i1#s.dat`  
`adf10/pt96/pj#pt96_i2#s.dat`

iso-nuclear source and power - unresolved  
`adf11/acd96/acd96_i1.dat`  
`adf11/acd96/acd96_i2.dat`  
`adf11/acd96/acd96_i3.dat`  
`adf11/pt96/pt96_i1.dat`  
`adf11/pt96/pt96_i2.dat`  
`adf11/pt96/pt96_i3.dat`

isoelectronic source and power - resolved  
`adf11/acd96/acd96_i1.dat`  
`adf11/acd96/acd96_i2.dat`  
`adf11/acd96/acd96_i3.dat`  
`adf11/pt96/pt96_i1.dat`  
`adf11/pt96/pt96_i2.dat`  
`adf11/pt96/pt96_i3.dat`

Photon emissivity coefficients  
`adf15/pec96#h/pec96#h_pj#i0.dat`  
`adf15/pec96#h/pec96#h_pj#i1.dat`  
`adf15/pec96#h/pec96#h_pj#i2.dat`  
`adf15/pec96#h/pec96#h_pj#i3.dat`

Ionisations per photon  
`adf13/sxb96#h/sxb96#h_pj#i0.dat`  
`adf13/sxb96#h/sxb96#h_pj#i10.dat`  
`adf13/sxb96#h/sxb96#h_pj#i11.dat`  
`adf13/sxb96#h/sxb96#h_pj#i12.dat`  
`adf13/sxb96#h/sxb96#h_pj#i13.dat`  
`adf13/sxb96#h/sxb96#h_pj#i14.dat`  
`adf13/sxb96#h/sxb96#h_pj#i15.dat`  
`adf13/sxb96#h/sxb96#h_pj#i16.dat`  
`adf13/sxb96#h/sxb96#h_pj#i17.dat`  
`adf13/sxb96#h/sxb96#h_pj#i18.dat`  
`adf13/sxb96#h/sxb96#h_pj#i19.dat`  
`adf13/sxb96#h/sxb96#h_pj#i20.dat`

Photon emissivity coefficients  
`adf15/pec96#h/pec96#h_pj#i0.dat`  
`adf15/pec96#h/pec96#h_pj#i10.dat`  
`adf15/pec96#h/pec96#h_pj#i11.dat`  
`adf15/pec96#h/pec96#h_pj#i12.dat`  
`adf15/pec96#h/pec96#h_pj#i13.dat`  
`adf15/pec96#h/pec96#h_pj#i14.dat`  
`adf15/pec96#h/pec96#h_pj#i15.dat`  
`adf15/pec96#h/pec96#h_pj#i16.dat`  
`adf15/pec96#h/pec96#h_pj#i17.dat`  
`adf15/pec96#h/pec96#h_pj#i18.dat`  
`adf15/pec96#h/pec96#h_pj#i19.dat`  
`adf15/pec96#h/pec96#h_pj#i20.dat`

In OPEN-ADAS

## Most data within ADAS is *ab initio*

GRIFFIN, MITNIK, COLGAN, AND PINDZOLA

PHYSICAL REVIEW A 64 032718

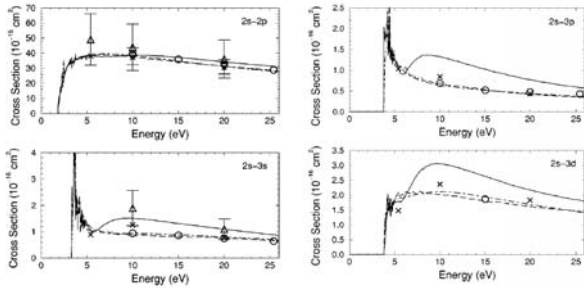


FIG. 2. Total electron-impact excitation cross sections for the  $2s \rightarrow 2p$  and  $2s \rightarrow 3s$  transitions in Li. Solid curves, present 14-state  $R$ -matrix calculation; dashed curves, present 55-state RMPS calculation; open circles, present TDCC calculation; dot-dashed curves, from fits to the CCC calculations given by Schweinzer *et al.* [10]; crosses, CCC calculation of Bray *et al.* [9]; upward triangles, experimental measurements of Williams *et al.* [11]; downward triangles, experimental results of Volkovik *et al.* [12].

FIG. 3. Total electron-impact excitation cross sections for the  $2s \rightarrow 3p$  and  $2s \rightarrow 3d$  transitions in Li. Solid curves, present 14-state  $R$ -matrix calculation; dashed curves, present 55-state RMPS calculation; open circles, present TDCC calculation; dot-dashed curves, from fits to the CCC calculations given by Schweinzer *et al.* [10]; crosses, CCC calculation of Bray *et al.* [9].

### Li<sup>0</sup> excitation cross sections

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## ADAS data and fusion modelling

ADAS data provides data (mostly in derived form) to

- EUROfusion WP-CD (Europe's Integrated Tokamak Modelling workflow)
- Basis of ITER's Integrated Modelling and Analysis Suite (IMAS)
- JET: edge2d, JAMS and sanc0
- PPPL: TRANSP via NUBEAM for beam stopping and emission
- CEA: CHRONOS
- GA: UEDGE
- IPP: solps (will be also part of EUROfusion effort)
- CCFE: PROCESS for DEMO design
- ITER: solps and diagnostic design studies
- OPEN-ADAS data used elsewhere but not tracked.

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The collisional-radiative model can occasionally be verified by experiment

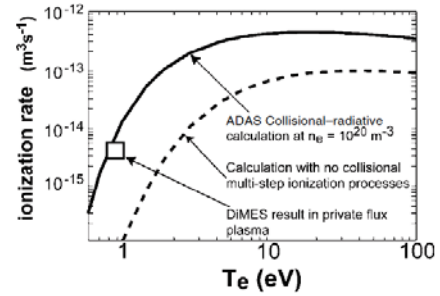


Figure 8. Ionization rate of sputtered lithium atoms as a function of electron temperature under PF plasma bombardment in H-mode plasma. Figure shows how the ADAS collisional-radiative model must be used to explain experimental data from Li-DIMES in PF plasma.

J P Allain *et al.*, Nuclear Fusion, 44 (2004) p655

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## OPEN-ADAS at <http://open.adas.ac.uk>

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## Integrated Modelling & Analysis Suite (IMAS)

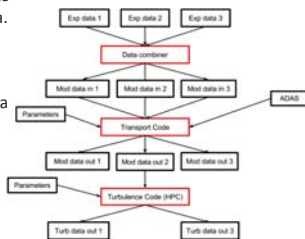
- Physics modelling tools to support Plasma Operations
  - Validation of pulses prior to operation
  - During shots for plasma control (forecasting) and live display
  - Post-pulse for comprehensive reconstruction using full set of diagnostic measurements
  - Components describing macroscopic behaviour should improve as ITER explores new physics domain of burning plasmas
- Tools must be computationally efficient, robust, well-documented and interface with other systems
  - Must be validated and have associated regressions tests
- Managed by IO and accessible to all ITER Members
  - Use distributed revision control system (git) to promote collaborative development

Simon Pinches, ITER IO

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## Using A/M/PMI data

- ADAS data will be part of larger integrated systems
- Accessing data will probably not be via reading the *adf* ASCII formatted files.
- WPCD uses a CPO – consistent physical object – to store atomic, molecular, surface and nuclear data.
- IMAS calls these IDS – interface data structures.
- CPO/IDS will:
  - Contain just one, recommended, version of a process
  - Will be updated regularly
  - Have a version identifier
  - Permit calling codes to track AMNS version
  - Allow codes to use earlier versions but the default is to use the latest version
- CPO/IDS are not:
  - The canonical version of the data



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## Atomic, Molecular, Nuclear & Surface Data in IMAS

- Systematic recording of atomic data used in IMAS simulations in IMAS database
  - No hard-coded atomic data in codes, no untraceable direct access to external database for atomic data
  - Provenance traceability (recording source of atomic data tables)
- AMNS package provides methods to:
  - Import atomic data tables from other databases (e.g. ADAS) into a local storage (includes recording data provenance)
  - Read atomic data tables from that storage and calculate the requested information (e.g. interpolation of tabular data)

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## Avoiding fracturing the data

- It has taken a significant amount of time and effort to assemble the ADAS database
- The focus remains on the needs of magnetic confined fusion.
- Data is not added unless there is a clear use
  - Some iso-electronic fundamental data is archived since trend analysis is a powerful technique.
- ADAS is a database with historical data, multiple calculations of the same process and different levels of sophistication co-exist.
- The combination of tightly defined formats, numerical data storage and asymptotically correct extrapolation and splining reading routines has stood the test of time.
- Analysis and modelling are becoming larger-scale enterprises and different ways of accessing the data, tracking its provenance and propagating model re-runs based on updated recommended data will be necessary and expected.
- ADAS remains the canonical source – the AMNS CPO/IDS will extract a 'best data' recommendation for practical use.
- AMNS CPOs are written by ADAS personnel – currently with a program which extracts ADAS data with ADAS routines which then writes the CPO over a large grid of plasma parameters.

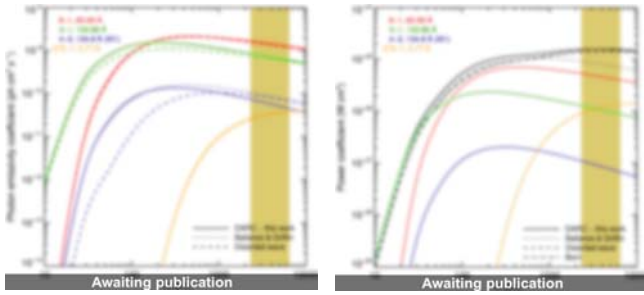
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## Reliability and quality of atomic data

- Data provenance – where does it come from.
- Data quality – how good, or how close to reality, is it.
- Completeness – is the physics description complete?

Consider  $W^{44+}$  ( $3d^{10} 4s^2$ ) a new calculation, yielding a range of values and showing the effect of omitting a configuration ( $3d^9 4s^2 4f$ ).



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## $W^{18+}$ dielectronic recombination

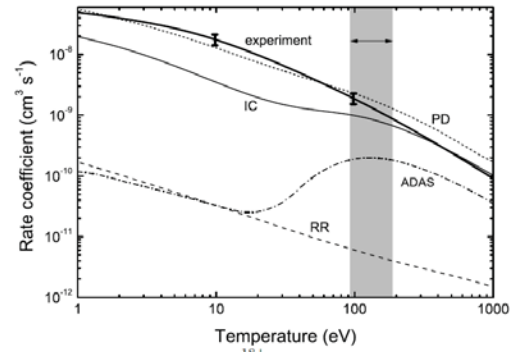
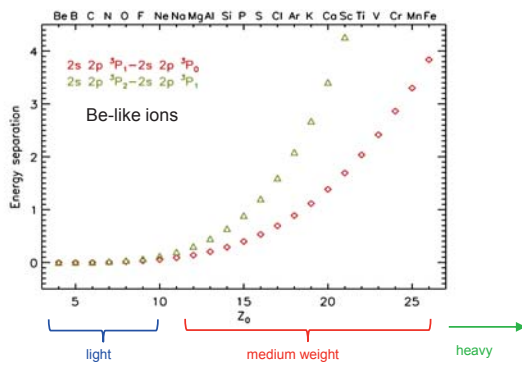


Fig 4. Plasma recombination rate coefficients for  $W^{18+}$  (Spruck et al. Phys. Rev. A At Press, 2014). Thick solid curve: experimentally derived rate coefficient; thin solid curve: IC theory; short-dashed curve (PD) partitioned and damped calculation. Dot-dashed curve: ADAS plasma recombination rate coefficient (Foster 2008).

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## Intermediate coupling and fine structure

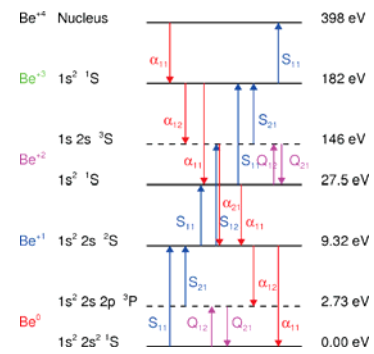


Cannot ignore the divergence for  $Z > 10$

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## GCR coefficients

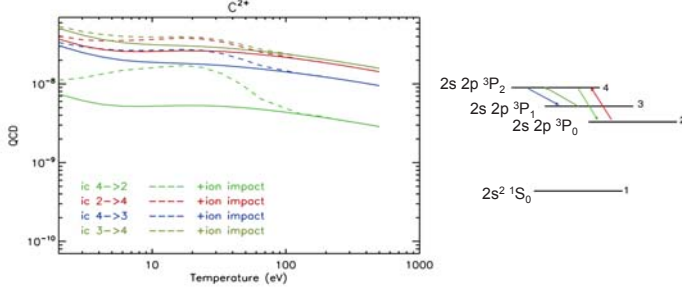
$$\frac{dN_{\rho}^{+z}}{dt} = -(N_e S_{CD,\sigma \rightarrow \nu} N_{\sigma}^{+z} + N_e \alpha_{CD,\nu \rightarrow \rho} N_{\nu}^{+z+1} + N_e Q_{CD,\sigma \rightarrow \rho} N_{\sigma}^{+z}) + \dots$$



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## $Q_{CD}$ – metastable cross-coupling

Only levels within the fine structure are affected significantly by ion impact.



$$Q_{CD,\sigma \rightarrow \rho}^{total} \approx Q_{CD,\sigma \rightarrow \rho}^{(e)} + \left( \sum_{ion} N_{ion} q_{\sigma \rightarrow \rho}^{ion} \right) / N_e$$

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## Conclusions and opportunities

- Advancing the atomic data required for fusion is still important
- High Z species – calculation of data and development of models underway
- The way atomic data will be used is changing
- Embedded into complex analysis chains, some with machine protection implications (and responsibilities).
- Provenance of atomic data is important
- Validation and assessment must take greater prominence.
- Need to maintain flow of the highest quality data into ADAS
  - Not all data in ADAS is perfect – ideally when faults are found outside the ADAS team an improved dataset would be provided.
- Models must also advance.
- Need more people familiar with using atomic data.
  - Ideally across the ITER domestic agencies
  - Atomic physics and plasma spectroscopy is not a moribund subject

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## Annual ADAS workshop

**ADAS Workshop 2015**

The 2015 ADAS Workshop will be held on 1 – 2 October in Catania, Sicily and will be hosted by **INAF – Osservatorio Astrofisico di Catania**.

This year the workshop moves to a Thursday and Friday, with an informal get together on Wednesday 30 September. We hope to have a stronger astrophysics theme than usual. More details in the next few weeks.

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## References

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